

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112740-338

In Re Application Of: Gero Bautz

Serial No.  
09/976,432

Filing Date  
October 11, 2001

Group Art Unit

Title: **PROCESS FOR STARTING A DATA PROCESSING INSTALLATION, AND ASSOCIATED COMPONENTS**

**RECEIVED**

APR 18 2003

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2100

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112740-338

In Re Application: **Gero Bautz**

Examiner

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09/976,432

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**APR 18 2003**

**Payment of Fee**

**Technology Center 2100**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

\_\_\_\_\_  
(Date)

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Signature

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Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited April 10, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

Robert Buccieri

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Signature

Dated: April 10, 2003

**William E. Vaughan (Reg. No. 39,056)**  
**Bell, Boyd & Lloyd LLC**  
**P.O. Box 1135**  
**Chicago, Illinois 60690-1135**

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gero Bautz  
Appl. No.: 09/976,432  
Filed: October 11, 2001  
Title: PROCESS FOR STARTING A DATA PROCESSING INSTALLATION, AND  
ASSOCIATED COMPONENTS  
Art Unit:  
Examiner:  
Docket No.: 112740-338

Assistant Commissioner for Patents  
Washington, DC 20231

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APR 18 2003  
Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicant requests that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

| <u>Document No.</u> | <u>Date</u> | <u>Inventor</u> |
|---------------------|-------------|-----------------|
| 6,112,303           | 8-29-00     | Stancil         |

Applicant looks forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

William E. Vaughan

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|                               |                               |
|-------------------------------|-------------------------------|
| Atty Docket No.<br>112740-338 | Application No.<br>09/976,432 |
| Applicant<br>Bautz            |                               |
| Filing Date<br>10-11-01       | Group                         |

[illegible][illegible]

| Examiner's Initials | OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |
|---------------------|------------------------------------------------------------------------|
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|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| <b>Examiner:</b>                                                                                                                                                                                                                                        | <b>Date Considered:</b> |
| <p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> |                         |